



**And Scientific Research**

**Al-Qadisiyah University**

**College of Education**

**Department of Mathematics**

## **S- Essential and e-small submodules**

A research

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بِسْمِ اللَّهِ الرَّحْمَنِ الرَّحِيمِ

قَالَ الَّذِي عِنْدَهُ عِلْمٌ مِنَ الْكِتَابِ أَنَا آتِيكَ بِهِ قَبْلَ أَنْ يَرْتَدَّ إِلَيْكَ طَرْفُكَ فَلَمَّا رآهُ مُسْتَقِرًّا عِنْدَهُ قَالَ هَذَا مِنْ فَضْلِ

رَبِّي لِيُبْلُوَنِي أَأَشْكُرُ أَمْ أَكْفُرُ وَمَنْ شَكَرَ فَإِنَّمَا يَشْكُرُ لِنَفْسِهِ وَمَنْ كَفَرَ فَإِنَّ رَبِّي غَنِيٌّ كَرِيمٌ

صدق الله العلي العظيم

سورة النمل (40)

## الشكر والاهداء

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شكري الجزيل وامتناني

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# Introduction

Let  $M$  be an  $R$ -module. A submodule  $A$  of an  $R$ -module  $M$  is said to be essential in  $M$  (denoted by  $A \leq_{\text{ess}} M$ ) if  $A \cap W \neq (0)$  for every non-zero submodule  $W$  of  $M$  equivalently  $A \leq_{\text{ess}} M$  if whenever  $A \cap W = 0$ ,  $W \leq M$  then  $W = 0$ . A submodule  $A$  of an  $R$ -module  $M$  is said to be small in  $M$  if whenever  $N + W = M$ ,  $W$  submodule of  $M$  implies  $W = M$ .

The socle of an  $R$ -module  $M$  is denoted by  $\text{Soc}(M)$  and defined as the sum of the simple submodules of  $M$ . If  $M$  has no simple submodule then we set  $\text{Soc}(M) = 0$ . Let  $M$  be a right  $R$ -module The Jacobson radical of  $M$  denoted by  $J(M)$

And defined as the intersection of all maximal submodules of  $M$ . If  $M$  has no maximal submodules then we set  $J(M) = M$ . Let  $M$  and  $N$  be modules over ring  $R$ . A function  $f: M \longrightarrow N$  is an  $R$ -module homomorphism if  $f(m + n) = f(m) + f(n)$  and  $f(rm) = rf(m)$  for all  $m, n \in M$  and  $r \in R$ .

This work consists of two chapters. In chapter one we deal with certain known result which is useful throughout this work. In chapter two we study  $e$ -small and  $s$ -Essential submodules. Let  $N$  be a submodule of a module  $M$ .  $N$  is said to be  $e$ -small in  $M$  if  $N + L = M$ , When  $L \ll_{\text{ess}} M$  implies  $L = M$ . And Let  $N$  be a submodule of a module  $M$ .  $N$  is said to be  $e$ -small in  $M$  if  $N \cap L = 0$ , When  $L \ll_{\text{ess}} M$  implies  $L = 0$ . and some properties about it. Let  $N$  be a submodule of a module  $M$ . Also we show that  $N \ll_{\text{ess}} M$  if and only if  $X + N = M$ , then  $X$  is a direct summand of  $M$  with  $M/X$  a semisimple module. As well as explain us who close the notion of  $s$ -Essential submodules at to then of Essential submodule in addition. We use the concepts of  $e$ -small and  $s$ -essential submodules to characterize some properties of homomorphisms.

Let  $0 \neq K \leq M$  be a module. Then  $K \leq_s M$  if and only if for each  $0 \neq x \in M$ , if  $Rx \ll M$ , then there is an element  $r \in R$  such that  $0 \neq rx \in K$ . Let  $N$  be a module and  $N, K, L$  are submodules of  $M$  with  $K \subseteq N$ . If  $N \ll_{\text{ess}} M$ , then  $K \ll_{\text{ess}} M$  and  $N/K \ll_{\text{ess}} M/K$ ,  $N + L \ll_e M$  if and only if  $N \ll_{\text{ess}} M$  and  $L \ll_{\text{ess}} M$ . If  $K \ll_{\text{ess}} M$  and  $f: M \rightarrow N$  is a homomorphism, then  $f(K) \ll_{\text{ess}} N$ .

if  $K \ll_{\text{ess}} M \subseteq N$ , then  $K \ll_{\text{ess}} N$ .

Assume that  $K_1 \subseteq M_1 \subseteq M$ ,  $K_2 \subseteq M_2 \subseteq M$  and  $M = M_1 \oplus M_2$ , then  $K_1 \oplus K_2 \ll_{\text{ess}} M_1 \oplus M_2$  if and only if  $K_1 \ll_{\text{ess}} M_1$  and  $K_2 \ll_{\text{ess}} M_2$ .

Let  $M$  be a module. Then  $\text{Rad}_e(M) = \bigcap \{ N \subseteq M \mid N \ll_{\text{ess}} M \}$ .  $\text{Soc}_s(M) = \bigcap \{ L \leq M \mid L \leq_s M \}$ .

# Chapter

# One

# Preliminaries



## Essential and Small submodules :-

**Definition ( 1.1)[5] :-** A submodule  $A$  of an  $R$ -module  $M$  is said to be essential in  $M$  (denoted by  $A \leq_{ess} M$ ), if  $A \cap W \neq (0)$  for every non-zero submodule  $W$  of  $M$ . Equivalently  $A \leq_{ess} M$  if whenever  $A \cap W = 0$ ,  $W \leq M$  then  $W = 0$ ."

**Example (1.2):-** Find an essential in  $Z_{12}$

Solution/

$$M = Z_{12}$$

$$\langle 0 \rangle = \{0\}$$

$$\langle 2 \rangle = \{0, 2, 4, 6, 8, 10\}$$

$$\langle 3 \rangle = \{0, 3, 6, 9\}$$

$$\langle 4 \rangle = \{0, 4, 8\}$$

$$\langle 6 \rangle = \{0, 6\}$$

$$\langle 12 \rangle = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11\}$$

$$\langle 0 \rangle \cap \langle 2 \rangle = 0, \langle 0 \rangle \cap \langle 3 \rangle = 0, \langle 0 \rangle \cap \langle 4 \rangle = 0, \langle 0 \rangle \cap \langle 6 \rangle = 0$$

$$\langle 0 \rangle = \{0\} \text{ is } \not\leq_{ess}$$

$$\langle 2 \rangle \cap \langle 0 \rangle = 0$$

$$\langle 2 \rangle \cap \langle 3 \rangle = \{0, 6\}$$

$$\langle 2 \rangle \cap \langle 4 \rangle = \{0, 4, 8\}$$

$$\langle 2 \rangle \cap \langle 6 \rangle = \{0, 6\}$$

$$\langle 2 \rangle \cap \langle 12 \rangle = \{0, 2, 4, 6, 8, 10\}$$

$$\langle 2 \rangle \text{ is } \leq_{\text{ess}}$$

$$\langle 3 \rangle \cap \langle 0 \rangle = 0$$

$$\langle 3 \rangle \cap \langle 2 \rangle = \{0, 6\}$$

$$\langle 3 \rangle \cap \langle 4 \rangle = \{0\}$$

$$\langle 3 \rangle \text{ is } \not\leq_{\text{ess}}$$

$$\langle 4 \rangle \cap \langle 0 \rangle = 0$$

$$\langle 4 \rangle \cap \langle 3 \rangle = \{0\}$$

$$\langle 4 \rangle \cap \langle 2 \rangle = \{0, 4, 8\}$$

$$\langle 4 \rangle \text{ is } \not\leq_{\text{ess}}$$

$$\langle 6 \rangle \cap \langle 0 \rangle = 0$$

$$\langle 4 \rangle \cap \langle 3 \rangle = \{0, 6\}$$

$$\langle 6 \rangle \cap \langle 2 \rangle = \{0, 6\}$$

$$\langle 6 \rangle \cap \langle 4 \rangle = \{0\}$$

$$\langle 6 \rangle \text{ is } \not\leq_{\text{ess}}$$

$$\langle 12 \rangle \cap \langle 0 \rangle = 0$$

$$\langle 12 \rangle \cap \langle 2 \rangle = \{0, 2, 4, 6, 8, 10\}$$

$$\langle 12 \rangle \cap \langle 3 \rangle = \{0, 3, 6, 9\}$$

$$\langle 12 \rangle \cap \langle 4 \rangle = \{0, 4, 8\}$$

$$\langle 12 \rangle \cap \langle 6 \rangle = \{0, 6\}$$

$$\langle 12 \rangle \cap \langle 12 \rangle = \mathbb{Z}_{12}$$

$$\langle 12 \rangle \text{ is } \not\leq_{\text{ess}}$$

**Definition (1.3) [5] :-** Let  $N$  be a submodule of module  $M$

$N$  is said small in  $M$  if whenever  $M = N + W$ ,  $W$  submodul of  $M$  implies

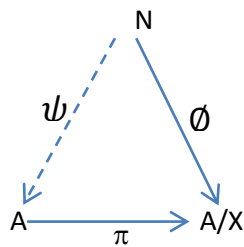
$W = M$ .

**For example**,  $\langle 2 \rangle$  is a small in  $M$ , where  $M = \mathbb{Z}_4$ .

**Definition (1.4)[5]:-** Let  $A$  is submodule of module  $M$   $A$  is direct summand of  $M$  and denoted by  $A \oplus B = M$  if  $M = A + B$  and  $A \cap B = 0$ .

**Definition (1.5) [5]:** - Let  $M$  be an  $R$ -module  $N$ ,  $A$  is called Semisimple if  $A \leq M$  then  $A \subseteq \oplus M$

**Definition (1.6) [5] :-** for  $R$ -modules  $N$  and  $A$ .  $N$  is said to be  $A$ -projective, if every submodule  $X$  of  $A$ , any homomorphism  $\phi: N \rightarrow \frac{A}{X}$  can be lifted to a homomorphism,  $\psi: N \rightarrow A$ , that is if  $\pi: A \rightarrow \frac{A}{X}$ , be the-natural epimorphism, then there exists a homomorphism  $\psi: N \rightarrow A$



such that  $\pi \circ \psi = \phi$ .

$M$  is called projective if  $M$  is  $N$ -projective for every  $R$ -module  $N$ . If  $M$  is  $M$ -projective,  $M$  is called self-projective".

For examples:

- (1)  $Z$  as  $Z$ -module is projective.
- (2)  $Z_2$  as  $Z$ -module is self-projective.

$Z_{p^\infty}$  as  $Z$ - module is  $Z$ -projective.

**Definition (1.7) [5] :** The socal of a an  $R$ -module  $M$  is denoted by  $\text{Soc}(M)$  and defined as the sum of the simple submodules of  $M$ . If  $M$  has no simple submodule then we set  $\text{Soc}(M)=0$ .

For examples :

$$(1) \text{Soc}(Z_Z) = 0;$$

$$(2) \text{Soc}(Z_6) = Z_6;$$

$$(3) \text{Soc}(Z_4) = \langle 2 \rangle.$$

**Definition ( 1.8) [5] :-** Let  $M$  be a right  $R$ -module . The Jacobson radical of  $M$  denoted by  $J(M)$  and defined as the intersection of all maximal submodules of  $M$ . If  $M$  has no maximal submodule, then we set  $J(M) = M$ .

For examples :

$$(1) J(Z_Z) = 0;$$

$$(2) J(Z_6) = 0;$$

$$(3) J(Q_Z) = Q_Z;$$

$$(4) J(Z_4) = \langle 2 \rangle.$$

**Definition (1.9) [5] :-** Let  $M$  and  $N$  be modules over ring  $R$ . A function  $f: M \mapsto N$  is an  $R$ -module homomorphism if  $f(m + n) = f(m) + f(n)$  and  $f(rm) = rf(m)$  for all  $m, n \in M$  and  $r \in R$ .

# Chapter Two

e-smallands-essential submodul

## **e-Small and s-Essential Submodules:-**

**All result of this chapter from [14]**

**Definition (2.1) :-** Let  $N$  be a submodule of a module  $M$ .

$N$  is said to be e- small in  $M$  if  $N+L=M$ , When  $L \ll_{\text{ess}} M$  implies  $L=M$

**Example(2.2) :-** find an e-small in  $Z_{24}$

$$N = \{0, 4, 8, 12, 16, 20\}$$

$$L = \{0, 2, 4, 6, 8, 10, 12, 14, 16, 18, 20, 22\}$$

**Solution/**

$N$  is e-small of  $M$

Since  $L$  essential of  $M$   $L+N=M$

**Definition ( 2.3) :-** Let  $N$  be a submodule of a module  $M$ .

$N$  is said to be e- small in  $M$  if  $N \cap L=0$ , When  $L \ll_{\text{ess}} M$  implies  $L=0$

**Example (2.4) :-** find a s- essential in  $Z_6$

$$L = \{0, 2, 4\}$$

$$N = \{0, 3\}$$

$N$  is e-small of  $M$

$$K \cap N = 0, K \ll N$$

**Proposition 2.5 :-** Let  $N$  be a submodule of a module  $M$ . The following are equivalent.

**(1)  $N \ll_{\text{ess}} M$ ;**

**(2) if  $X+N = M$ , then  $X$  is a direct summand of  $M$  with  $M/X$  a semisimple module.**

**Proof :**

**(1)  $\Rightarrow$  (2).** Let  $Y$  be a complement of  $X$  in  $M$ , then  $X \oplus Y \leq_{\text{ess}} M$ . Since

$X + Y + N = M$  and  $N \ll_{\text{ess}} M$ , it follows that  $X \oplus Y = M$ . To see that  $M/X \cong Y$  is semisimple, let  $A$  be a submodule of  $Y$ . Then  $X + A + N = M$ . Arguing as above with  $X + A$  replacing  $X$ , we have that  $X + A = X \oplus A$  is a direct summand of  $M$ , implying that  $A$  is a direct summand of  $Y$ , so  $M/X$  is semisimple.

**(2)  $\Rightarrow$  (1).** Let  $K \leq_{\text{ess}} M$  and  $K + N = M$ , then  $K$  is a direct summand of  $M$ , so  $K = M$ . We

have  $N \ll_{\text{ess}} M$ . **In particular if  $M$  is a projective module, then every e-small submodule  $N$  of  $M$**

The next proposition, which will be used frequently, explains how close the notion of s-essential submodules is to that of essential submodules.

**Proposition 2.6:-** Let  $0 \neq K \leq M$  be a module. Then  $K \leq_s M$  if and only if

for each  $0 \neq x \in M$ , if  $Rx \ll M$ , then there is an element  $r \in R$  such that  $0 \neq rx \in K$ .

**Proof. -:**

Let  $K$  be a submodule of  $M$  and  $K \leq_s M$ . For each  $0 \neq x \in M$ , if  $Rx \ll M$ , then  $Rx \neq 0$  and  $K \cap$

$Rx \neq 0$ . Thus there is an element  $r \in R$  such that  $0 \neq rx \in K$ .

**( $\Leftarrow$ ) Suppose  $L$  is a small submodule of  $M$  and  $0 \neq x \in L$ . We have  $Rx \ll M$ , hence there exists an element  $r \in R$  such that  $0 \neq rx \in K \cap L$ . That is,  $K \leq_s M$ .**

**Proposition 2.7.** Let  $M$  be a module.

(1) Assume that  $N, K, L$  are submodules of  $M$  with  $K \subseteq N$ .

(a) If  $N \ll_{\text{ess}} M$ , then  $K \ll_{\text{ess}} M$  and  $N/K \ll_{\text{ess}} M/K$ .

(b)  $N + L \ll_e M$  if and only if  $N \ll_{\text{ess}} M$  and  $L \ll_{\text{ess}} M$ .

(2) If  $K \ll_{\text{ess}} M$  and  $f : M \rightarrow N$  is a homomorphism, then  $f(K) \ll_{\text{ess}} N$ .

**In particular**

if  $K \ll_{\text{ess}} M \subseteq N$ , then  $K \ll_{\text{ess}} N$ .

(3) Assume that  $K_1 \subseteq M_1 \subseteq M$ ,  $K_2 \subseteq M_2 \subseteq M$  and  $M = M_1 \oplus M_2$ , then

$K_1 \oplus K_2 \ll_{\text{ess}} M_1 \oplus M_2$  if and only if  $K_1 \ll_{\text{ess}} M_1$  and  $K_2 \ll_{\text{ess}} M_2$ .

**Proof:-**

(1) (a) Suppose that  $L \leq_{\text{ess}} M$  and  $L+K = M$ , then  $N+L = M$ , thus  $L = M$  for  $N \ll_{\text{ess}} M$ , so  $K \ll_{\text{ess}} M$

If  $L \leq M$  with  $L/K \leq_{\text{ess}} M/K$  and  $L/K + N/K = M/K$ , then  $N + L = M$  and  $L \leq_{\text{ess}} M$ .

Hence  $L = M$  and  $L/K = M/K$ . Therefore  $N/K \ll_{\text{ess}} M/K$ .

(b) The necessity follows immediately from (a). Conversely, suppose  $K \leq_{\text{ess}} M$  with

$N + L + K = M$ , then  $L + K = M$  since  $L + K \leq_{\text{ess}} M$  and  $N \ll_e M$ . Whence  $K = M$  for

$K \leq_{\text{ess}} M$  and  $L \ll_{\text{ess}} M$ .

(2) Suppose that  $A \leq_{\text{ess}} N$  and  $A+f(K) = N$ . Then  $f^{-1}(A) \leq_{\text{ess}} M$ , and  $f^{-1}(A)+K = M$

Since  $K \ll_{\text{ess}} M$ , we have  $f^{-1}(A) = M$ . Thus  $f(K) \subseteq A$  and  $A = N$ . So  $f(K) \ll_{\text{ess}} N$ .

(3) Immediate from (1) and (2).



It is proved in [13, Lemma 1.3] that if  $K \ll M$  and  $N/K \ll M/K$ , then  $N \ll M$ .

The following example shows that the converse of Proposition 2.5 (a) is false.

**Example 2.8.** Assume that  $R = \mathbb{Z}$ ,  $M = \mathbb{Z}_{24}$ ,  $K = 6\mathbb{Z}_{24}$  and  $N = 3\mathbb{Z}_{24}$ . Then  $K \ll M$  and

$$N/K \ll_{\text{ess}} M/K. \text{ But } N \text{ is not e-small in } M.$$

Dually, we have the following conclusions on s-essential submodules.

**Proposition 2.9.** Let  $M$  be a module.

(1) Assume that  $N, K, L$  are submodules of  $M$  with  $K \subseteq N$ .

(a) If  $K \leq_s M$ , then  $K \leq_s N$  and  $N \leq_s M$ .

(b)  $N \cap L \leq_s M$  if and only if  $N \leq_s M$  and  $L \leq_s M$ .

(2) If  $K \leq_s N$  and  $f : M \rightarrow N$  is a homomorphism, then  $f \leftarrow (K) \leq_s M$ .

(3) Assume that  $K_1 \subseteq M_1 \subseteq M$ ,  $K_2 \subseteq M_2 \subseteq M$  and  $M = M_1 \oplus M_2$ , then

$$K_1 \oplus K_2 \leq_s M_1 \oplus M_2 \text{ if and only if } K_1 \leq_s M_1 \text{ and } K_2 \leq_s M_2.$$

The converse of Proposition 2.7 (1)(a) is **not true**

**Example 2.10.** Let  $R = \mathbb{Z}$ ,  $M = \mathbb{Z}_{36}$ ,  $N = 6\mathbb{Z}_{36}$  and  $K = 18\mathbb{Z}_{36}$ . Then  $K \leq_s N$ ,  $N \leq_s M$ . But  $K$  is **not** s-essential in  $M$ .

The socle and radical of a module are important in the study of modules and rings. In [13], the radical of a module  $M$  is generalized as follows

$$(\mathbf{M}) = \cap \{ K \leq M \mid M/K \text{ is singular and simple} \}.$$

Furthermore, we have

**Definition 2.11.** Let  $M$  be a module. Define

$$\text{Rad}_e(M) = \cap \{ N \leq_{\text{ess}} M \mid N \text{ is maximal in } M \},$$

And

$$\text{Soc}_s(\mathbf{M}) = \mathbf{X}\{ \mathbf{N} \ll \mathbf{M} \mid \mathbf{N} \text{ is minimal in } \mathbf{M} \}.$$

**Obviously,**

$$\text{Soc}_s(\mathbf{M}) \subseteq \text{Rad}(\mathbf{M}) \subseteq (\mathbf{M}) \subseteq \text{Rad}_e(\mathbf{M})$$

and

$$\text{Soc}_s(\mathbf{M}) \subseteq \text{Soc}(\mathbf{M}) \subseteq \text{Rad}_e(\mathbf{M}).$$

In the following we use e-small submodules and s-essential submodules to characterize  $\text{Rad}_e(\mathbf{M})$  and  $\text{Soc}_s(\mathbf{M})$ .

**Theorem 2.10:-** Let  $\mathbf{M}$  be a module. Then

$$(1) \text{Rad}_e(\mathbf{M}) = \mathbf{P} \{ \mathbf{N} \subseteq \mathbf{M} \mid \mathbf{N} \ll_{\text{ess}} \mathbf{M} \}.$$

$$(2) \text{Soc}_s(\mathbf{M}) = \bigcap \{ \mathbf{L} \leq \mathbf{M} \mid \mathbf{L} \leq_s \mathbf{M} \}.$$

**Proof. (1).** Let  $\mathbf{U} = \mathbf{P} \{ \mathbf{N} \subseteq \mathbf{M} \mid \mathbf{N} \ll_{\text{ess}} \mathbf{M} \}$ . Suppose that  $\mathbf{L} \ll_{\text{ess}} \mathbf{M}$  and  $\mathbf{K} \leq_{\text{ess}} \mathbf{M}$  is maximal in  $\mathbf{M}$ , hence  $\mathbf{L} \leq \mathbf{K}$ . Otherwise, we have  $\mathbf{K} + \mathbf{L} = \mathbf{M}$ . But  $\mathbf{L} \ll_{\text{ess}} \mathbf{M}$ , hence  $\mathbf{K} = \mathbf{M}$ , a contradiction. It follows that  $\mathbf{U} \subseteq \text{Rad}_e(\mathbf{M})$ . On the other hand, for  $\mathbf{x} \in \text{Rad}_e(\mathbf{M})$  suppose that  $\mathbf{R}\mathbf{x}$  is not e-small in  $\mathbf{M}$ .

**Set**

$$\Gamma = \{ \mathbf{B} \mid \mathbf{B} \neq \mathbf{M}, \mathbf{B} \leq_{\text{ess}} \mathbf{M} \text{ and } \mathbf{R}_x + \mathbf{B} = \mathbf{M} \}.$$

Clearly,  $\Gamma$  is a non-empty subposet of the lattice of submodules of  $\mathbf{M}$ . By the Maximal Principle,  $\Gamma$  has a maximal element, say  $\mathbf{B}_0$ . Now we claim that  $\mathbf{B}_0$  is maximal in  $\mathbf{M}$ . Otherwise, there is a submodule  $\mathbf{C}$  of  $\mathbf{M}$  such that  $\mathbf{B}_0 \subsetneq \mathbf{C} \subsetneq \mathbf{M}$ , thus

$$\mathbf{R}_x + \mathbf{C} \supseteq \mathbf{R}_x + \mathbf{B}_0 = \mathbf{M}$$

and  $\mathbf{C} \leq_{\text{ess}} \mathbf{M}$ , hence  $\mathbf{C} \in \Gamma$ , which contradicts the maximality of  $\mathbf{B}_0$ . So  $\mathbf{B}_0$  is maximal in  $\mathbf{M}$  and  $\mathbf{B}_0 \leq_{\text{ess}} \mathbf{M}$ . Thus  $\mathbf{x} \in \text{Rad}_e(\mathbf{M}) \subseteq \mathbf{B}_0$  and  $\mathbf{R}_x \subseteq \mathbf{B}_0$ .

Since  $\mathbf{R}_x + \mathbf{B}_0 = \mathbf{M}$ , it follows that  $\mathbf{B}_0 = \mathbf{M}$ , a contradiction. So  $\mathbf{R}_x \ll_{\text{ess}} \mathbf{M}$ , hence

$\text{Rad}_e(\mathbf{M}) \subseteq \mathbf{U}$ . Therefore

$$\text{Rad}_e(\mathbf{M}) = \sum \{ \mathbf{N} \subseteq \mathbf{M} \mid \mathbf{N} \ll_{\text{ess}} \mathbf{M} \}.$$

(2). Let  $\mathbf{S} = \bigcap \{ \mathbf{L} \leq \mathbf{M} \mid \mathbf{L} \trianglelefteq \mathbf{M} \}$ . Suppose that  $\mathbf{L} \trianglelefteq \mathbf{M}$  and  $\mathbf{K} \ll \mathbf{M}$  is minimal in  $\mathbf{M}$ , then

$\mathbf{K} \leq \mathbf{L}$ . Otherwise,  $\mathbf{K} \cap \mathbf{L} = \mathbf{0}$ , hence  $\mathbf{K} = \mathbf{0}$ , a contradiction. So  $\text{Soc}_s(\mathbf{M}) \subseteq \mathbf{S}$ . Note that  $\mathbf{S} \subseteq \text{Soc}(\mathbf{M})$ , thus  $\text{Soc}_s(\mathbf{M})$  and  $\mathbf{S}$  are semisimple

modules. If  $\mathbf{S} \neq \text{Soc}_s(\mathbf{M})$ , there is a simple module  $\mathbf{T}$  such that  $\mathbf{T} \leq \mathbf{S}$  and  $\mathbf{T}$  is not small in  $\mathbf{M}$ .

Let  $\mathbf{K}$  be a proper submodule such that  $\mathbf{K} + \mathbf{T} = \mathbf{M}$ .

(a) If  $\mathbf{K} \cap \mathbf{T} \neq \mathbf{0}$ , then  $\mathbf{T} \subseteq \mathbf{K}$ , hence  $\mathbf{K} = \mathbf{M}$ , a contradiction.

(b) If  $\mathbf{K} \cap \mathbf{T} = \mathbf{0}$ , then  $\mathbf{M} = \mathbf{K} \oplus \mathbf{T}$ . For each  $\mathbf{H} \leq \mathbf{M}$ , if  $\mathbf{H} \ll \mathbf{M}$  and  $\mathbf{K} \cap \mathbf{H} = \mathbf{0}$ , then  $\mathbf{H} + \mathbf{K}$  is a proper submodule of  $\mathbf{M}$  and  $\mathbf{H} \cong (\mathbf{H} + \mathbf{K})/\mathbf{K}$  is a submodule of  $\mathbf{M}/\mathbf{K}$ , where  $\mathbf{M}/\mathbf{K} \cong \mathbf{T}$  is a simple module. Thus  $\mathbf{H} = \mathbf{0}$ . Then  $\mathbf{K} \trianglelefteq \mathbf{M}$ , that is,  $\mathbf{T} \subseteq \mathbf{S} \subseteq \mathbf{K}$ , a contradiction.

Thus  $\mathbf{T} \ll \mathbf{M}$ , a contradiction. Therefore  $\mathbf{S} = \text{soc}_s(\mathbf{M})$ .

**Corollary 2.12.** Let  $\mathbf{M}$  and  $\mathbf{N}$  be modules.

(1) If  $\mathbf{f} : \mathbf{M} \rightarrow \mathbf{N}$  is an  $\mathbf{R}$ -homomorphism, then  $\mathbf{f}(\text{Rad}_e(\mathbf{M})) \subseteq \text{Rad}_e(\mathbf{N})$ . In particular,  $\text{Rad}_e(\mathbf{M})$  is a fully invariant submodule of  $\mathbf{M}$ .

(2) If every proper essential submodule of  $\mathbf{M}$  is contained in a maximal submodule of  $\mathbf{M}$ , then  $\mathbf{Rad}_e(\mathbf{M})$  is the unique largest e-small submodule of  $\mathbf{M}$ .

**Proof.**

(1) By **Proposition 2.7** and **Proposition 2.12**.

(2) For each essential submodule  $\mathbf{K}$  of  $\mathbf{M}$ , if  $\mathbf{K} \neq \mathbf{M}$ , there is a maximal submodule  $\mathbf{L}$  of  $\mathbf{M}$  such that  $\mathbf{K} \subseteq \mathbf{L}$ , then  $\mathbf{L} \leq_{\text{ess}} \mathbf{M}$ . By the definition of  $\mathbf{Rad}_e(\mathbf{M})$ ,  $\mathbf{Rad}_e(\mathbf{M}) \subseteq \mathbf{L}$ .

So  $\mathbf{Rad}_e(\mathbf{M}) + \mathbf{K} \subseteq \mathbf{L} \subsetneq \mathbf{M}$ . Thus  $\mathbf{Rad}_e(\mathbf{M}) \ll_{\text{ess}} \mathbf{M}$ .

Dually, we have

**Corollary 2.13.** Let  $\mathbf{M}$  and  $\mathbf{N}$  be modules. Then

(1) If  $f : \mathbf{M} \rightarrow \mathbf{N}$  is an  $R$ -homomorphism, then  $f(\text{Soc}_s(\mathbf{M})) \subseteq \text{Soc}_s(\mathbf{N})$ . Therefore,  $\text{Soc}_s(\mathbf{M})$  is a fully invariant submodules of  $\mathbf{M}$ .

(2) If  $\mathbf{M} = \bigoplus_{i=1}^n \mathbf{M}_i$ , then  $\text{Soc}_s(\mathbf{M}) = \bigoplus_{i=1}^n \text{Soc}_s(\mathbf{M}_i)$ .

(3) If every non-zero small submodule of  $\mathbf{M}$  contains a minimal submodule of  $\mathbf{M}$ , then

$\text{Soc}_s(\mathbf{M})$  is the unique least s-essential submodule of  $\mathbf{M}$ .

**Example 2.14.** Let  $\mathbf{R} = \mathbb{Z}$ ,  $\mathbf{M} = \mathbb{Z}_{24}$  and  $\mathbf{N} \leq \mathbf{M}$ . All submodules of  $\mathbf{M}$  have the following properties.

$\mathbf{N} \leq \mathbf{M}$	Small	e-small	essential	s-essential
$\mathbb{Z}_{24}$	×	×	√	√
$2\mathbb{Z}_{24}$	×	√	√	√
$3\mathbb{Z}_{24}$	×	×	×	√
$4\mathbb{Z}_{24}$	×	√	√	√
$6\mathbb{Z}_{24}$	√	√	×	√
$8\mathbb{Z}_{24}$	×	√	×	×
$12\mathbb{Z}_{24}$	√	√	×	√
0	√	√	×	×

**According to the above chart, we have**

$$(1) \text{Rad}(\mathbf{M}) = 6\mathbb{Z}_{24}, \text{Rad}_c(\mathbf{M}) = 2\mathbb{Z}_{24}, \text{Soc}(\mathbf{M}) = 4\mathbb{Z}_{24} \text{ and } \text{Soc}_s(\mathbf{M}) = 12\mathbb{Z}_{24}$$

$$(2) \text{Soc}_s(\mathbf{M}) \subsetneq \text{Rad}(\mathbf{M}) \subsetneq \text{Rad}_c(\mathbf{M}) \text{ and } \text{Soc}_s(\mathbf{M}) \subsetneq \text{Soc}(\mathbf{M}) \subsetneq \text{Rad}_c(\mathbf{M})$$

## E-small and s-essential homomorphisms

**Definition 2.15.** Let  $M$  and  $N$  be modules.

- (1) An epimorphism  $g : M \rightarrow N$  is e-small in case  $\text{Kerg} \ll_{\text{ess}} M$ .
- (2) A monomorphism  $f : M \rightarrow N$  is s-essential in case  $\text{Im}f \trianglelefteq_s M$ .

In the following, we give a useful characterization of e-small homomorphisms and s-essential homomorphisms.

**Proposition 2.16.** Let  $M$  and  $N$  be modules.

- (1) An epimorphism  $g : M \rightarrow N$  is e-small if and only if for each essential monomorphism  $h$ , if  $gh$  is epic, then  $h$  is epic.
- (2) A monomorphism  $f : M \rightarrow N$  is s-essential if and only if for each small epimorphism  $h$ , if  $hf$  is monic, then  $h$  is monic.

**Proof.**

- (1) Let  $g : M \rightarrow N$  be an epimorphism and  $K = \text{Kerg}$ . Then there is a unique isomorphism  $v : M/K \rightarrow N$ , such that  $v\pi = g$  where  $\pi : M \rightarrow M/K$ .

Thus it follows that for each homomorphism  $h$ ,  $v\pi h = gh$  is epic if and only if  $\pi h$  is epic.

- ( $\Rightarrow$ ) If  $g$  is e-small, then  $K \ll_{\text{ess}} M$ . Since  $\pi h$  is epic, we have  $\text{Im } h + K = M$ .

Note that  $h$  is an essential monomorphism, hence  $\text{Im}h \leq_{\text{ess}} M$ , thus  $\text{Im}h = M$ . So  $h$  is epic.

( $\Leftarrow$ ) Let  $L$  be an essential submodule of  $M$ . Let  $i_L : L \rightarrow M$  be the inclusion.

Then  $i_L$  is essential. If  $K + L = M$ , then  $\pi i_L$  is epic. By hypothesis,  $i_L$  is epic, that is,  $L = M$ . So  $K \ll_{\text{ess}} M$ , hence  $g$  is e-small.

(2) Dual to (1).

**Proposition 2.17.** Suppose that the following diagram of modules and homomorphisms is commutative and has exact rows.

$$\begin{array}{ccccccccc}
 0 & \longrightarrow & A & \xrightarrow{f} & B & \xrightarrow{g} & C & \longrightarrow & 0 \\
 & & \alpha \downarrow & & \beta \downarrow & & \gamma \downarrow & & \\
 0 & \longrightarrow & A' & \xrightarrow{f'} & B' & \xrightarrow{g'} & C' & \longrightarrow & 0
 \end{array}$$

(1) If  $\alpha$  is epic and  $g$  is e-small, then  $g'$  is e-small.

(2) If  $\gamma$  is monic and  $f'$  is s-essential, then  $f$  is s-essential.

**Proof.**

(1) Assume that  $g$  is  $e$ -small, then  $\text{Kerg} \ll_e B$  and  $\beta(\text{Kerg}) \ll_{\text{ess}} B'$ . It suffices to show  $\text{Kerg}' \leq \beta(\text{Kerg})$ . Let  $b' \in \text{Kerg}'$ . Since the bottom row is exact, there is an element  $a \in A$  with  $\alpha(a) = b'$ . Since the diagram commutes and the top row is exact,  $b' = f'\alpha(a) = \beta f(a)$  and  $gf(a) = 0$ . Thus there is a  $f(a) \in \text{Kerg}$  such that  $\beta(f(a)) = b'$ . So  $b' \in \beta(\text{Kerg})$ , hence  $\text{Kerg}' \ll_{\text{ess}} B'$ .

(2) Dual to (1).

**Corollary 2.19.** Consider the following diagram

$$\begin{array}{ccc} A & \xrightarrow{\alpha_1} & B \\ \alpha_2 \downarrow & & \downarrow \beta_2 \\ C & \xrightarrow{\beta_1} & D \end{array}$$

(1) Assume that the diagram is a pullback of  $\beta_1$  and  $\beta_2$ . If  $\beta_1$  is a  $s$ -essential monomorphism, so is  $\alpha_1$ .

(2) Assume that the diagram is a pushout of  $\alpha_1$  and  $\alpha_2$ . If  $\alpha_1$  is an  $e$ -small epimorphism, so is  $\beta_1$ .



**Proof.**

(1) Assume that the diagram is a pullback of  $\beta_1$  and  $\beta_2$  with  $\beta_1$  a s-essential monomorphism. Then we have a full commutative diagram with exact rows by [7, Proposition 5.1].

$$\begin{array}{ccccccccc}
 0 & \longrightarrow & A & \xrightarrow{\alpha_1} & B & \xrightarrow{\pi_1} & L & \longrightarrow & 0 \\
 & & \downarrow \alpha_2 & & \downarrow \beta_2 & & \parallel & & \\
 0 & \longrightarrow & C & \xrightarrow{\beta_1} & D & \xrightarrow{\pi_2} & L & \longrightarrow & 0
 \end{array}$$

By Proposition 3.3,  $\alpha_1$  is a s-essential monomorphism.

(2) Dual to (1).

Let  $R$  and  $S$  be two rings, if  $F : \text{Mod-}R \rightarrow \text{Mod-}S$  define a Morita equivalence, by Proposition 3.2 we note that  $f : M \rightarrow N$  is e-small (resp., s-essential) if and only if  $F(f) : F(M) \rightarrow F(N)$  is e-small (resp., s-essential).

For two rings  $R$  and  $S$ , a bimodule  $SUR$  is said to define a Morita duality, if  ${}_S U_R$  is a faithfully balanced bimodule such that  $SU$  and  $UR$  are injective cogenerators. A presentation of Morita duality can be found in [2, §23, §24] and Small-Essential Submodules and Morita Duality 1059

[11]. If  $M$  is a right  $R$ -module (left  $S$ -module), we let  $M^* = \text{SHom}_R(M, U)$  ( $= \text{Hom}_S(M, U)R$ ), and  $M$  is said to be  $U$ -reflexive if the evaluation homomorphism  $e_M : M \rightarrow M^{**}$  is an isomorphism. According to [2], let  $R_R[U]$  and  $S_R[U]$  denote the class of all  $U$ -reflexive right  $R$ -modules and that of all  $U$ -reflexive left

S-modules, respectively.

**Theorem 2.20.** Assume that  ${}_S U_R$  defines a Morita duality and  $f : M \rightarrow N$ . If  $M, N$  are  $U$ -reflexive, then

(1)  $f$  is an e-small epimorphism if and only if  $f^* : N^* \rightarrow M^*$  is a s-essential monomorphism.

(2)  $f$  is a s-essential monomorphism if and only if  $f^* : N^* \rightarrow M^*$  is an e-small epimorphism.

**Proof. (1)** Let  $f : M \rightarrow N$  be an e-small epimorphism, then  $f^* : N^* \rightarrow M^*$  is monic by [2, Corollary 24.2]. We claim that  $f^*$  is a s-essential monomorphism.

Suppose that  $h : M^* \rightarrow H$  is such that  $hf^*$  is a monomorphism and  $h$  is a small epimorphism, then  $(hf^*)^* = f^{**}h^*$  is an epimorphism and  $h^*$  is an essential monomorphism. Since  $MR$  and  $NR$  are  $U$ -reflexive, the evaluation homomorphisms  $\sigma_M : M \rightarrow M^{**}$  and  $\sigma_N : N \rightarrow N^{**}$  are isomorphisms, that is, the following diagram commutes:

$$\begin{array}{ccc} M & \xrightarrow{f} & N \\ \sigma_M \downarrow & & \downarrow \sigma_N \\ M^{**} & \xrightarrow{f^{**}} & N^{**} \end{array}$$

Since  $f$  is an e-small epimorphism,  $f^{**}$  is an e-small epimorphism. By Proposition 3.2,  $h^*$  is epic. By [2, Corollary 24.2]  $h$  is monic. Therefore  $f^*$  is a s-essential monomorphism by Proposition 3.2.

Conversely, let  $f^* : N^* \rightarrow M^*$  be a s-essential monomorphism. By [2, Corollary

24.2],  $f : M \rightarrow N$  is an epimorphism. We shall prove that  $f$  is e-small.

Suppose that  $h : H \rightarrow M$  is an essential monomorphism such that  $fh$  is epimorphic, then  $(fh)^* = h^*f^*$  is monic and  $h^*$  is a small epimorphism. By

Proposition 3.2,  $h^*$  is monomorphism. By [2, Corollary 24.2],  $h$  is an epimorphism.

So  $f$  is an e-small epimorphism by Proposition 3.2.

Dually, (2) can be proved.

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